

# Earley Parser

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# Earley Parser: Bottom-up parsers

In general, **breadth-first bottom-up** parsers are attractive since:

- they work on-line;
- can handle left-recursion;
- can be doctored to handle  $\epsilon$ -rules.

# Earley Parser: Bottom-up problem

Still the question remains:

**How to curb their needless activity?**

A method that will *restrict the fan-out* to reasonable proportions while still *retaining full generality* was developed by Earley .

# Earley Parser: Basic Concept

**Main problem:** the **spurious reductions** can never derive from the **start symbol**.

**Solution:** give a method to **restrict the reductions** only to those that derive from the **start symbol**.

The resulting parser takes **at most  $n^3$**  units of time for input of length  $n$  **rather than  $C^n$** .

# Earley Parser: Definition

Earley's parser can also be described as a **breadth-first top-down** parser with **bottom-up recognition**. Still, we prefer to treat it as a **bottom-up method**, for it can handle left-recursion directly but needs special measures to handle  $\epsilon$ -rules.

# Earley Parser: Earley Item

An Earley item is an **item** with an indication of the **position** of the symbol at which the recognition of the recognized part started.

$E \rightarrow E \bullet QF @ 3$   **Position**

The sets of items contain exactly those items...

a) of which the part before the dot has been recognized so far **...and...**

b) are useful in reaching the start symbol.

# Earley Parser: Methods

The Earley Parser uses methods called **Scanner, Completer and Predictor.**

- **Scanner** is like “shift”.
- **Completer** is like “reduce”.
- **Predictor** is unique to the Earley parser.

# Earley Parser: Scanner



Scanner



# Earley Parser: Completer



Completer

# Earley Parser: Predictor



Predictor

# Earley Parser: The Sigma

The Scanner, Completer and Predictor deal with **four sets of items** for each token in the input.

We'll refer to a token as **sigma@p** or as

$$\delta_p$$

# Earley Parser: The Four Sets

$\text{sigma}@p$  is surrounded by four sets:

- **$\text{itemset}@p-1$**
- **$\text{completed}@p$**
- **$\text{active}@p$**
- **$\text{predicted}@p$**

# Earley Parser: $\text{itemset}@p-1$



$\text{itemset}@p-1$

# Earley Parser: completed@p



completed@p

# Earley Parser: active@p



active@p

# Earley Parser: predicted@p



predicted@p



# Earley Parser: The Four Sets, cont.

- **itemset@p-1** - items available just before  $\text{sigma}@p$ ;
- **completed@p** - items that have become completed after  $\text{sigma}@p$ ;
- **active@p** - non-completed items after  $\text{sigma}@p$ ;
- **predicted@p** - the set of newly predicted items.

# Earley Parser: The Scanner

## The Scanner :

looks at  **$\sigma@p$**  -> goes through  **$itemset@p-1$**

-> makes copies of all items that contain  **$\bullet\sigma$**

-> changes them to  **$\sigma\bullet$**  -> adds them...

a) to the set  **$completed@p$**  if the  **$item@p$**  was completed ...or...

b) to the set  **$active@p$**  if the  **$item@p$**  is not yet completed

# Earley Parser: The Scanner, cont.

**Rules not containing  $\bullet$ sigma are discarded!**

# Earley Parser: The Completer

**The Completer** inspects **completed@p**, which contains the completely recognized items and can now be *reduced*.

# Earley Parser: The Completer, cont.

For each item of the form  $\mathbf{R} \dashrightarrow \mathbf{sigma}@m$  the Completer goes to  $\mathbf{itemset}@(m-1)$ , and calls the Scanner; which goes to work on  $\mathbf{R}$ .

# Earley Parser: The Completer

The Scanner will make copies of all items in **itemset@(*m*-1)** featuring a **•R**, replace the **•R** by **R•** and store them in either **completed@*p*** or **active@*p***. At this stage items could be added to the set **completed@*p***.

# Earley Parser: The Completer

**Eventually the Completer stops completing.**

(When it has completely completed  
the set **completed@p** :) )

# Earley Parser: The Predictor

The Predictor goes through the sets **active@p** (which was filled by the Scanner) and **predicted@p** (which is empty initially), and considers all non-terminals which have a • before them.



# Earley Parser: The Predictor, cont.

For each expected non-terminal  $N$  and each rule for that non-terminal  $N \rightarrow P\dots$ , the Predictor adds an item to the set **predicted@p**.

# Earley Parser: The Predictor, cont.



This may introduce new predicted non-terminals (for instance,  $P$ ) to **predicted@p** which causes more work for the Predictor.

# Earley Parser: The Predictor, cont.

**Eventually the Predictor stops predicting.**

# Earley Parser: Recognition

The sets **active@p** and **predicted@p** together form the new **itemset@p**. If the completed set for the last symbol in the input contains an item **S-->...•@1**. Then the input is recognized.

# Earley Parser: Example

Consider an example with the following grammar and the input: **a - a + a.**

$S \rightarrow E$

$E \rightarrow EQF$

$E \rightarrow F$

$Q \rightarrow +$

$Q \rightarrow -$

$F \rightarrow a$

# Earley Parser: Example, cont.

There is one **Predictor**, **Scanner** and **Completer** stage for each symbol.

Parsing begins by **calling the Predictor** on the initial active set containing  $S \rightarrow E@1$  which generates **itemset@0**.

# Earley Parser: $\delta @ 0$

act/pred<sub>0</sub>

S	->	•	E	@1		
E	->	•	E	Q	F	@1
E	->	•	F	@1		
F	->	•	a	@1		

= itemset<sub>0</sub>

The Predictor, reads

**active@0**, {S-> •E@1 } and

**predicted@0**, which is

initially empty, and fills the

set **predicted@0**.

{act.@0} U {pred.@0} =

{itemset@0}

# Earley Parser: $\delta @ 1$

completed<sub>1</sub>

$F \rightarrow a \bullet @ 1$

$E \rightarrow F \bullet @ 1$

$S \rightarrow E \bullet @ 1$

$a_1$

act/pred<sub>1</sub>

$E \rightarrow E \bullet Q F @ 1$

.....  
 $Q \rightarrow \bullet + @ 2$

$Q \rightarrow \bullet - @ 2$

= itemset<sub>1</sub>

After scanning  $\delta @ 1$  the Completer completes some rules, and puts the other possible rules in **active@1**. Predictor makes predictions from those that are in the active set.



# Earley Parser: $\delta @ 2$

completed<sub>2</sub>

$Q \rightarrow \cdot @ 2$

$-_2$

act/pred<sub>2</sub>

$E \rightarrow EQ \cdot F @ 1$

$F \rightarrow \cdot a @ 3$

= itemset<sub>2</sub>

Continue as before  
until the input is  
consumed.

# Earley Parser: $\delta @ 3$

completed<sub>3</sub>

$F \rightarrow a \bullet @ 3$

$E \rightarrow EQF \bullet @ 1$

$S \rightarrow E \bullet @ 1$

$a_3$

act/pred<sub>3</sub>

$E \rightarrow E \bullet QF @ 1$

.....  
 $Q \rightarrow \bullet + @ 4$

$Q \rightarrow \bullet - @ 4$

= itemset<sub>3</sub>

As you can see we already have few possibilities...

# Earley Parser: $\delta @ 4$

completed<sub>4</sub>

$Q \rightarrow + \bullet @ 4$

+<sub>4</sub>

act/pred<sub>4</sub>

$E \rightarrow EQ \bullet F @ 1$

.....

$F \rightarrow \bullet a @ 5$

= itemset<sub>4</sub>

# Earley Parser: $\delta @ 5$

completed<sub>5</sub>

$F \rightarrow a \bullet @ 5$

$E \rightarrow EQF \bullet @ 1$

$S \rightarrow E \bullet @ 1$

$a_5$

active<sub>5</sub>

$E \rightarrow E \bullet QF @ 1$

$S \rightarrow E \bullet @ 1$  is in the set completed and the last input symbol has been read.

**Therefore the sentence is recognized!!!**

# Earley Parser: Comparison to CYK

## Similarities:

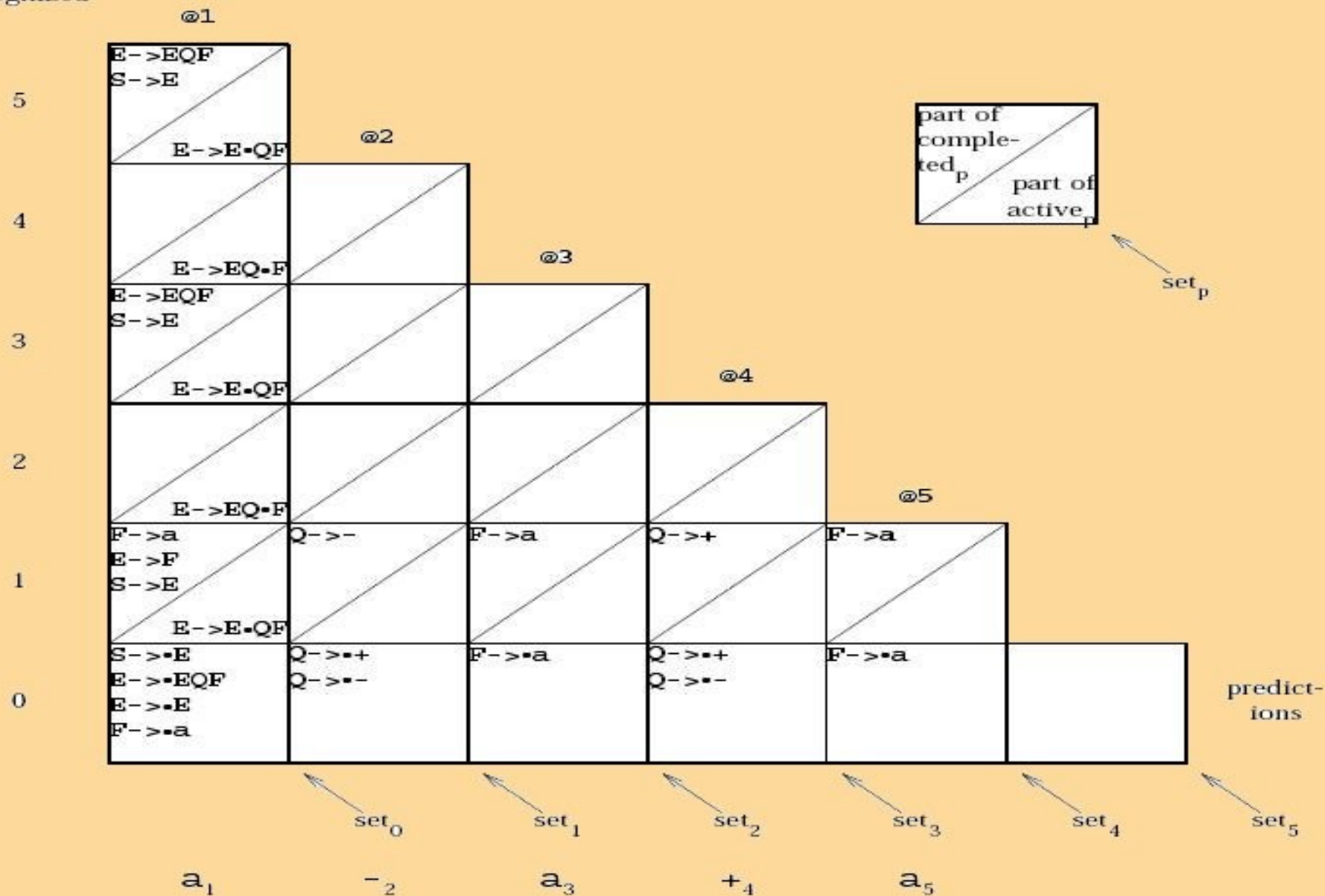
- are Chart Parsers
- worst case memory requirements  $O(n^2)$
- worst case time complexity  $O(n^3)$
- use bottom-up recognition
- use a top-down parser to build trees

# Earley Parser: Comparison to CYK

The Early Parser however eliminates rules which will not be useful as we go along, with non ambiguous grammars such as the example shown we get a worst time complexity of  $O(n^2)$ .

# Earley Parser: Recognition Chart

length  
recognized



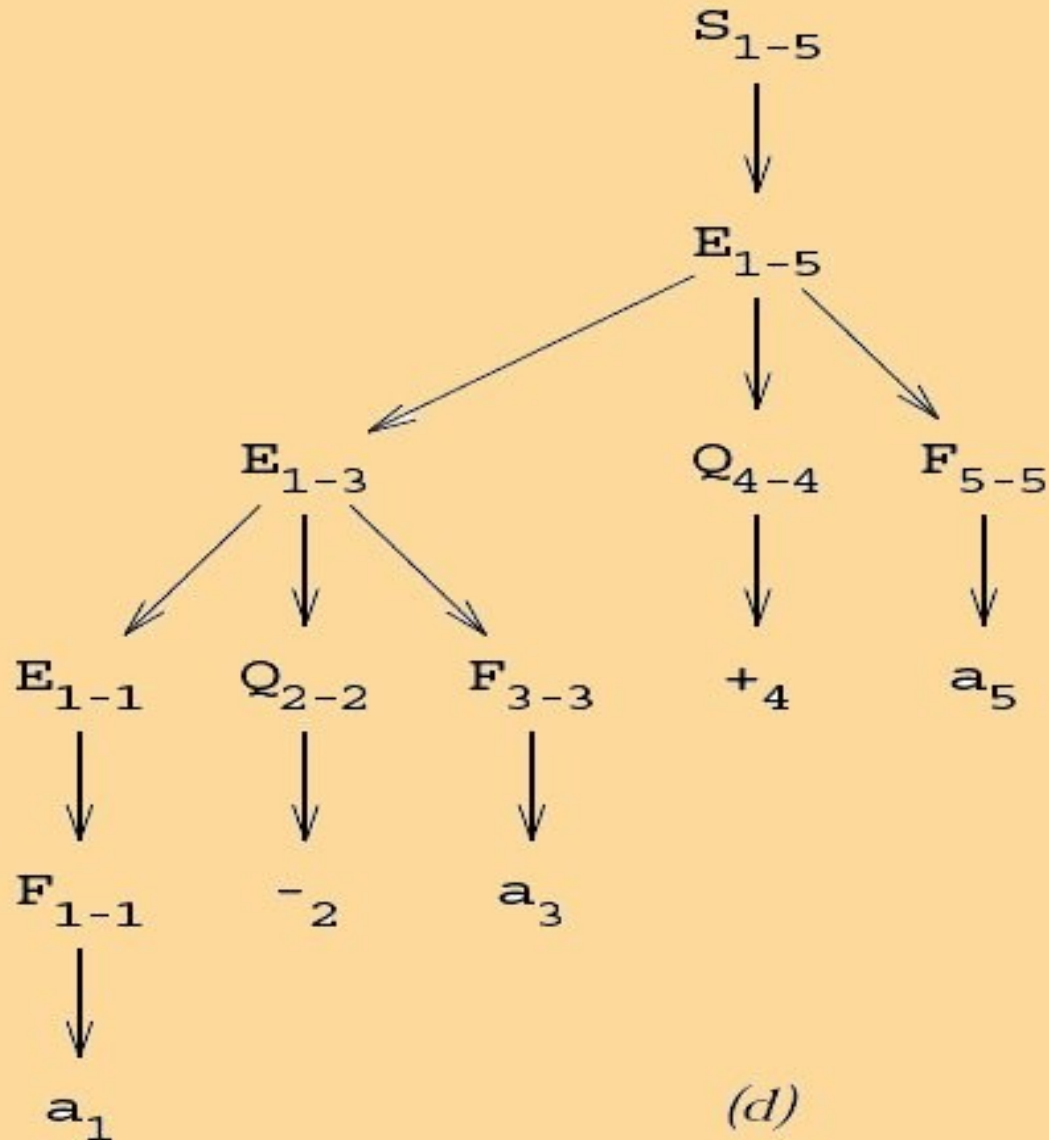
# Earley Parser: CYK Recognition Chart

length recognized

	@1				
5	E S				
4		@2			
3	E S		@3		
2				@4	
1	F E S	Q	F E S	Q	F E S
	$a_1$	$-_2$	$a_3$	$+_4$	$a_5$



# Earley Parser: Parsing Tree



As with the CYK parser, a simple top-down Unger-type parser can be used to reconstruct all possible parse trees from a chart.

# Earley Parser: A Worse Example

We get worst case behaviour when we have to deal with ambiguous grammars like:

$$S \rightarrow SS$$
$$S \rightarrow x$$

# Earley Parser: A Worse Example, cont.

act/pred<sub>0</sub>

S -> •SS@1

.....  
S -> •X @1

= itemset<sub>0</sub>

X<sub>1</sub>

completed<sub>1</sub>

S -> X • @1

act/pred<sub>1</sub>

S -> S • S@1

.....  
S -> •SS@2

S -> •X @2

= itemset<sub>1</sub>

# Earley Parser: A Worse Example, cont.

completed<sub>2</sub>

S → x • @2  
S → SS • @1

completed<sub>3</sub>

S → x • @3  
S → SS • @2  
S → SS • @1

X<sub>2</sub>

act/pred<sub>2</sub>

S → S • S @2  
S → S • S @1  
.....  
S → • SS @3  
S → • x @3

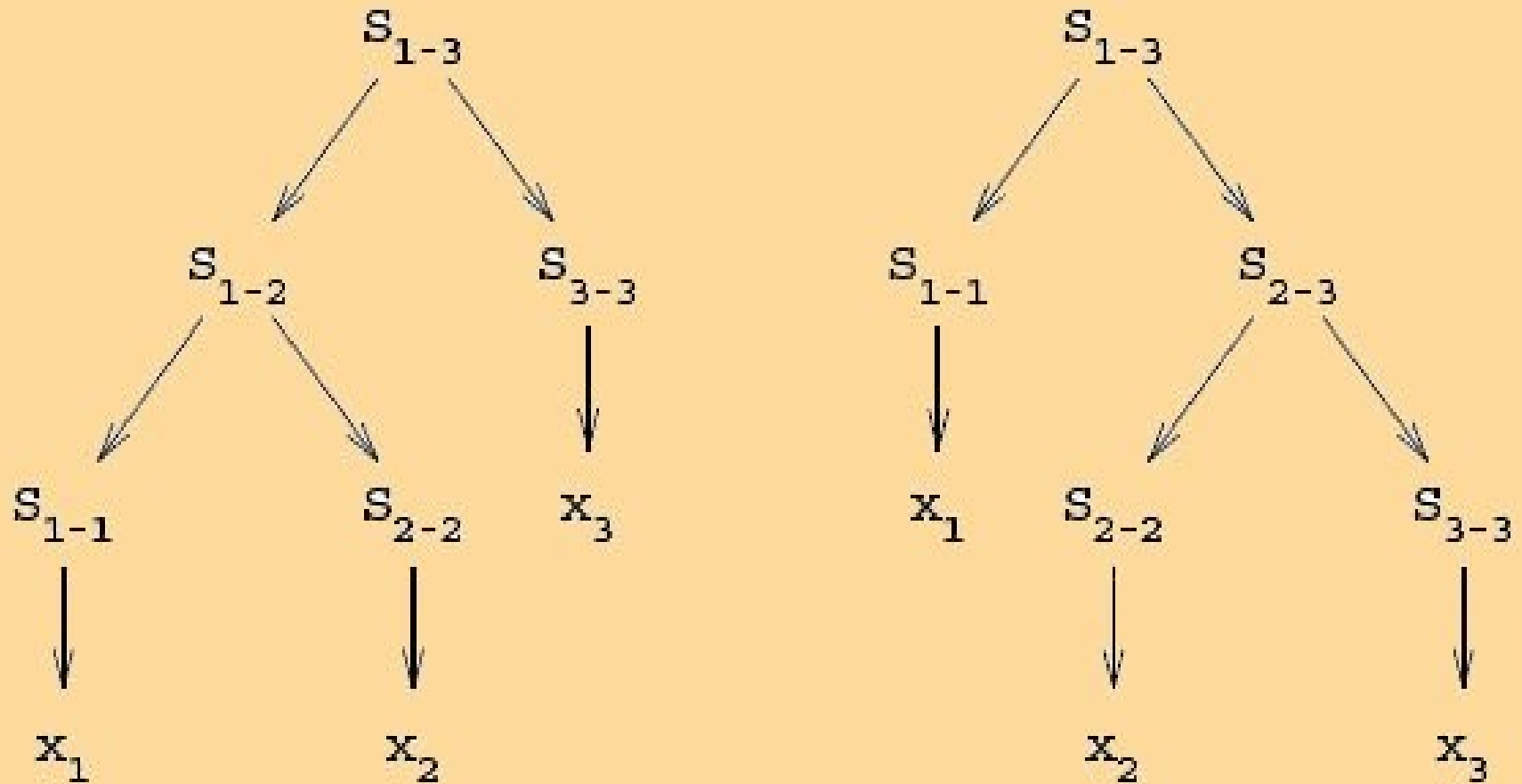
= itemset<sub>2</sub>

X<sub>3</sub>

active<sub>3</sub>

S → S • S @3  
S → S • S @2  
S → S • S @1

# Earley Parser: A Worse Example, cont.



## Earley Parser: A Worse Example, cont.

The **active@p** and **predicted@p** sets keep growing until the final symbol is read. When building a parse tree from the resulting chart we find two possible derivations, but if the input would be longer the the situation would be worse!

# Earley Parser: $\epsilon$ -rules

**The Earley parser doesn't like  $\epsilon$ -rules!**

**(Does anybody like them?)**

# Earley Parser: $\epsilon$ -rules, cont.

Consider the following non- $\epsilon$ -free grammar with the input  $a a / a$ .

$S \rightarrow E$        $E \rightarrow EQF$        $E \rightarrow F$

$Q \rightarrow *$

$Q \rightarrow /$

$Q \rightarrow e$

$F \rightarrow a$



# Earley Parser: $\epsilon$ -rules, cont.

After reading  $a_1$  we have a situation where every time the predictor predicts a  $\bullet Q$  it must also predict a  $Q\bullet$

# Earley Parser: $\epsilon$ -rules, cont.

act/pred<sub>1</sub>

$E \rightarrow E \cdot Q F @ 1$

$E \rightarrow EQ \cdot F @ 1$

$Q \rightarrow \cdot X @ 2$

$Q \rightarrow \cdot / @ 2$

$F \rightarrow \cdot a @ 2$

= itemset<sub>1</sub>

This can effect  
the behaviour of  
the Completer  
which is working  
on itemset@ 1.

# Earley Parser: $\epsilon$ -rules, cont.

In the end we can find a parse with this grammar.

# Earley Parser: $\epsilon$ -rules, cont.

What would happen to the itemset if we had a  
rule  $Q \rightarrow QQ$  ?

# Earley Parser: $\epsilon$ -rules, cont.

An Early parser would resolve it but not without inefficiency.

$E \rightarrow E \bullet QF$      $E \rightarrow EQ \bullet F$

$Q \rightarrow \bullet QQ$      $Q \rightarrow Q \bullet Q$      $Q \rightarrow QQ \bullet$

$Q \rightarrow *$

$Q \rightarrow /$      $\epsilon$ -rules add significantly to the

$F \rightarrow a$     complexity time

# Earley Parser: Prediction Lookahead

Prediction Lookahead reduces the number of incorrect predictions made by the Predictor by considering next input symbol before adding items to **predicted@p**. It uses a set of FIRST terminal symbols, for each non terminal.

# Earley Parser: Prediction Lookahead

$S \rightarrow A \mid AB \mid B$       $\text{FIRST}(S) = \{p, q\}$

$A \rightarrow C$               $\text{FIRST}(A) = \{p\}$

$B \rightarrow D$               $\text{FIRST}(B) = \{q\}$

$C \rightarrow p$               $\text{FIRST}(C) = \{p\}$

$D \rightarrow q$               $\text{FIRST}(D) = \{q\}$

# Earley Parser: Prediction Lookahead

act/pred<sub>0</sub>

S' ->•S @1
.....
S ->•A @1
S ->•AB @1
S ->•B @1
A ->•C @1
B ->•D @1
C ->•p @1
D ->•q @1

= itemset<sub>0</sub>

Q<sub>1</sub>

completed<sub>1</sub>

D ->q• @1
B ->D• @1
S ->B• @1
S' ->S• @1

act/pred<sub>1</sub>

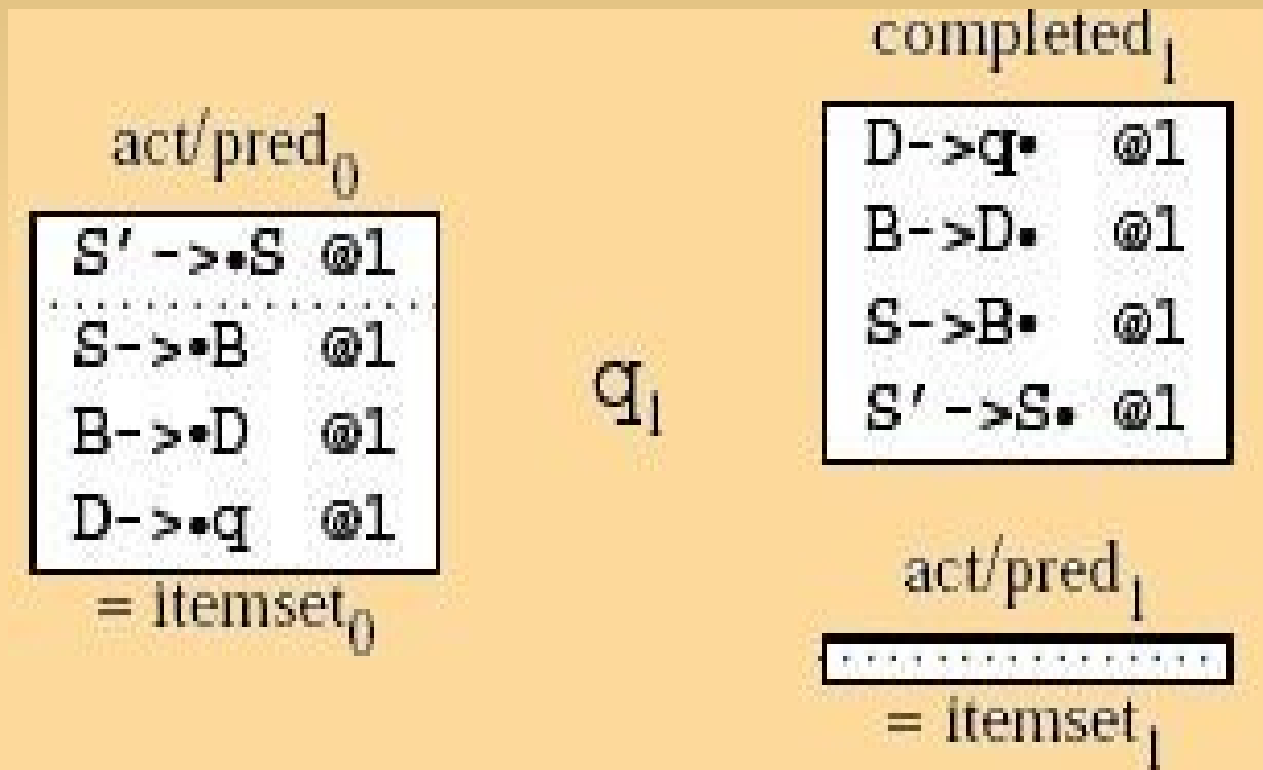
.....
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= itemset<sub>1</sub>

Without  
lookahead



# Earley Parser: Prediction Lookahead



With  
lookahead

# Earley Parser: Conclusion

Earley Parser shows a very successful combination of strong sides of top-down and bottom-up methods, handles well left recursion and  $\epsilon$ -rules, and, being armoured by lookahead, takes the optimal possible amount of memory.

# Earley Parser: Conclusion



**Earley rules!**